



INDEX METHODOLOGY CHANGE LOG

NASDAQ LUX HEALTH TECH INDEX

NQHTEC

Effective Date	Methodology Section	Description or Summary of Changes
September 20, 2021	Security Eligibility Criteria: Eligible exchanges	Eligibility has been expanded from listings on exchanges operated by Nasdaq, NYSE and Cboe Global Markets to listings on exchanges operated by any Nasdaq Index Eligible Stock Exchange.
September 20, 2021	Security Eligibility Criteria: Market capitalization eligibility	Free float market capitalization eligibility has been increased from \$200 million to \$500 million (USD).
September 20, 2021	Security Eligibility Criteria: Liquidity eligibility	Liquidity eligibility has been increased from \$1 million to \$3 million (USD).
September 20, 2021	Index Calendar: Rebalance reference dates	The Rebalance Reference Date was changed from the third Friday in March and September to the Reconstitution Reference Date.
September 20, 2021	Constituent Weighting	The Index has switched from an equal weight to a modified market cap weight scheme.

Implemented as of 9/13/2021

Contact information

For any questions regarding an Index, please contact the Nasdaq Index Client Services team at indexservices@nasdaq.com.